

BREED REGULATIONS
NEW ZEALAND WARBLOOD ASSOCIATION (NZWA)
BREEDING AND REGISTRATION REQUIREMENTS

*Updated 17th September 2017 – **CHANGES IN RED***

1. BREEDING GOAL

1.1 The aim of breeding Warmbloods in New Zealand is:

- **To produce horses capable of International Representation in the Olympic disciplines of Dressage, Showjumping and Eventing**
- To produce a noble, correctly built Warmblood horse with good rideability, capable of superior performance in all disciplines.
- To produce a horse with natural impulsion and elastic movements.
- To produce a horse which because of its temperament, character and willingness, is suited as an all round riding horse on the basis of its natural ability.

2. BREEDING REQUIREMENTS

2.1 To be eligible for Registration, a foal may be produced by:

- Natural service.
- Artificial insemination (AI).
- Frozen semen subject to DNA testing.
- Embryo Transfer (ET), **Invitro Fertilisation (IVF) and Frozen Embryo (according to requirements listed separately).**

NB: Cloned horses are NOT eligible for registration

2.2 Paddock breeding will be permitted provided the mare is exposed to only one stallion.

2.3 If a mare is bred by more than one stallion in a season, then DNA testing will be required.

2.4 After 1 August 1987 all stallions registered with NZWA must be DNA-typed at the owner's expense prior to being used for breeding. An official copy of the DNA type will be filed with NZWA.

2.5 "Grandfather Clause" Under the conditions of the "Grandfather clause" the progeny of mares currently in the system (ie Stud Book Mares, Warmblood Register Mares or Foundation Mares) with incomplete pedigrees will be treated as if their pedigrees are complete. Female progeny of these mares would be eligible for stud book registration provided they meet all other criteria; however, colts must have a complete four generation pedigree to be eligible for Colt Selection

2.6 In addition to the above, NZWA reserves the right to request a DNA type report on any sire, mare and foal prior to registration of a foal to ascertain its parentage if there is justifiable cause to do so, in the opinion of the Committee. The expense for such testing is the responsibility of the foal owner.

3. STALLION BREEDING CERTIFICATE

- 3.1 There are two stallion breeding certificates that are applicable for all foals to be registered with the NZWA.
 - 3.1.1 Frozen Semen Breeding Certificate – This certificate is to be used for mares inseminated with Frozen Semen only. It is to be signed by the AI technician /inseminating veterinarian.
 - 3.1.2 Certificate of Service – this certificate is to be used for all natural, fresh and chilled semen inseminations. This is to be signed by the stallion stud master/agent.

These certificates shall be executed in triplicate at the time of the service, and will serve as the breeding certificate.

- 3.2 The first copy of the Stallion Breeding Certificate triplicate is for the mare owner. The mare owner's copy should be submitted to the Registrar at the time of registration of the foal.
- 3.3 The second copy of the Stallion Breeding Certificate triplicate is for the stud master and;
- 3.4 The third copy of the Stallion Breeding Certificate triplicate is to be sent to the NZWA Registrar prior to registration. No registration will be considered unless the blue stallion service certificate pertaining to the foal has been received by the Registrar. *This excludes the Warmblood Derivative Register when other documentation may be acceptable.*

3.5 All information contained on breeding certificates etc. shall be considered confidential. It will be available to the Committee and other NZWA members only on a need-to-know basis when conducting authorised business.

4. REGISTRATION

4.1 The official stud books are:

- (a) The Warmblood Stud Book – composed of the Stallion Stud Book, the Mare Stud Book and the Foundation Stud Book which are only for classified and approved horses.
- (b) The Warmblood Register – Warmblood, Thoroughbred and Arabian ancestry the only combinations accepted, with warmblood breeding evidenced in the pedigree.
- (c) The Warmblood Derivative Register – must have a minimum 25% Warmblood breeding with any other breeding being accepted. Not eligible for the NZWA brand.
- (d) The Warmblood Pony Studbook – composed of the Pony Stallion Stud Book and the Pony Mare Stud Book which are only for classified and approved ponies.
- (e) The Warmblood Pony Register – must have a minimum 25% Warmblood breeding and may not reach a mature height in excess of 148cm.
- (f) The Foundation Stock Register – for all non-classified/unapproved Thoroughbred, Arabian and Anglo Arabians used in warmblood breeding programs.

4.2 The Warmblood Stud Book

The Warmblood Stud Book will contain all registered horses that have been successfully classified and approved with NZWA, or any other internationally recognized warmblood breed society that is accepted by the Committee. The stud book may also contain those horses that have been elevated to approved status due to the exceptional performance of the individual horse and/or it's progeny, after due consideration by the committee.

4.3 The Warmblood Register

The Warmblood Register will contain a record of all horses registered with the NZWA which are sired by licensed warmblood stallions (or have been accepted in under the Amnesty) and have a minimum of 93% Warmblood, Thoroughbred and / or Arabian ancestry in their pedigrees with warmblood evidenced in the pedigree. The percentage of any unknown / ineligible blood in a pedigree must be less than 7% of the total blood of the horse to be registered. In practice this means there may be one horse on the fifth line of the pedigree who is of unknown or ineligible blood. This will be effectively “bred-out” in the next generation.

Imported horses and foals conceived overseas may also be registered in this section, providing certificates can authenticate the horse's breeding to the satisfaction of the Committee and DNA typing can confirm the parentage (please see points 4.3.1 – 4.3.3).

Once a horse in the Warmblood Register undergoes a successful classification, it will be elevated to the Warmblood Stud Book.

4.3.1 Imported horses over the age of one year must be registered in their country of origin. If they are not registered, the importer/owner of the horse is responsible for collating the necessary documentation (including DNA testing of the imported horse and it's parents to verify parentage) and associated costs to register the horse with the NZWA.

4.3.2 If the horse is under one year of age at importation, it must be foal recorded in the country of origin and have a completed service certificate indicating the sire and the dam. The importer/owner of the horse is then responsible for collating the necessary documentation (including DNA testing of the imported horse and it's parents to verify parentage) and associated costs to register the horse with the NZWA.

4.3.3 Once registered and admitted to the Warmblood Register, these imported warmbloods are eligible for classification in New Zealand (if not already classified) which if successfully passed will allow them to be elevated to the Warmblood Stud Book.

4.3.4 All imported Warmblood horses may be required to be inspected by two members of the Committee to verify brands, colour, marking and papers. They may recommend to the Committee that the horse, if a Stallion (or Stud Book mare), be examined by the Inspection Panel.

Horses in the Warmblood Register that have failed their classification will remain in the Warmblood Register and not be elevated to the Warmblood Stud Book, unless after due consideration by the committee it is elevated due to the exceptional performance of the individual horse and/or it's progeny (see clause 4.4), or it is successfully represented within 3 years of its first attempt.

4.4 Special Circumstances

In the furtherance of the Association's goal to develop a superior horse, the Committee may, in exceptional circumstances, empower the Registrar to accept horses of other than approved Warmblood breeds if this inclusion on the Register or in the Stud Book is deemed to contribute to the improvement of the Warmblood breed. This provision is to be used with discretion and only on rare occasions. If a horse owner believes that they have a horse worthy of consideration, an application must be made in writing to the President and Registrar. This application must include full pedigree details, competition/breeding results, photographs and any other supporting documentation that may be appropriate.

Likewise, if a stallion or mare either fails its licensing or classification inspection or is not presented it may be upgraded into the Studbook under this Clause. These horses will meet all the criteria in terms of correct pedigree. They can be assessed and included into the studbook on performance in sport or on performance of their progeny in sport with the minimum criteria being equal to or above that of Principal Mare or Stallion status. Other considerations such as type and temperament will also be taken into account.

Decisions on horses using this Special Circumstances clause must be passed by an 80% majority of the current NZWA Committee.

4.5 Pedigree Recording

In pedigrees for registered Warmblood horses the following are to be identified:

- Horses which are Thoroughbred will be designated with a double XX after their name.
- Horses which are Arabian will be designated with an OX after their name.
- Horses which are Anglo-Arabian will be designated with an X after their name.

5. TRANSFER OF OWNERSHIP

If a horse changes ownership, the new owner shall submit the registration certificate, the Change of Ownership form filled in by both parties, together with the proper fee. The ownership transfer will be recorded on the certificate and in the official database of NZWA.

6. AGE OF A HORSE

This shall be the true age of the horse as the day, month and year of birth.

7. DEATH OF A HORSE

Upon the death of a registered horse, its certificate of registration with the date of the death noted thereon must be submitted to the Registrar for cancellation and recording.

8. REPLACEMENT REGISTRATION CERTIFICATES

If the original NZWA issued registration certificate is destroyed or misplaced, the owner can request another one to be issued. This request must be made in writing to the Registrar of the NZWA, with full and complete details of the horse listed. The request must be made by the current owner of the horse, who must be a fully financial member of the

NZWA. A fee shall be charged for re-issuing the registration certificate.

9. NAMING OF A HORSE

No horse shall be registered under a name which is a duplicate of a horse alive or dead that has already been registered with NZWA.

A horse already registered with an overseas Society or a breed Society recognised by NZWA, with a name identical to one already in the NZWA register, will have roman numerals added to its name, in consecutive order.

9.1 Change of Name – Once a horse is registered under a given name, this name can only be changed to another that is not already on the register, at a fee to be set each year. The current owner of the horse must apply for the name change in writing, supplying the original registration certificate and the written approval of the breeder of the horse.

10. BRANDING

10.1 NZWA Brand – once a horse's registration is accepted into the Warmblood Register or Warmblood Stud Book (including DNA testing if required) then the owner will be issued with a branding slip. This branding slip is to be completed by a Veterinarian at the time of branding of the horse. The brand to be used is the NZWA brand, and it is to be placed on the near side thigh of the horse. The brand will be posted along with a copy of the branding slip and any other relevant information to the owner's veterinary surgeon who will perform the branding and complete the paperwork, returning both to the NZWA without delay. The only persons allowed access to the branding irons are those committee members appointed by the NZWA and Veterinary Surgeons.

10.2 Stud Brand – the NZWA will allow stud owners to use their own stud brand after verification and approval by the NZWA committee. The stud brand is to be registered with the NZWA upon written application and payment of applicable fees by the stud owner. The NZWA registrar will keep a list of all approved brands. The stud brand is applied to the near side shoulder.

10.3 Numerical Branding – Numerical branding for both stud and the NZWA brands will be limited to a single digit for the season of birth. This shall be immediately underneath the NZWA brand.

10.4 Breed Brands – NZWA will allow all Warmblood recognised breed society brands to be used. This includes societies such as Trakehner, Holsteiner, KWPN, Hanoverian, Oldenburg and any other Warmblood breeds which accepted by the NZWHA Committee.

10.5 Freeze Branding – The NZWA will only allow the use of freeze branding. Fire Branding is no longer permitted.

10.6 No Branding Option – The no branding option may be exercised by a horse owner but the horse must be microchipped instead. For microchipping the vet must record all markings, scars and whorls of the horse for identification purposes. The cost of microchipping will be borne by the owner of the horse.

10.7 Warmblood Derivative Register – Horses in the Warmblood Derivative Register (minimum 25% warmblood) are not branded with the NZWA cipher but must be microchipped.

10.8 Warmblood Derivative stallions must be DNA tested / recorded

11. WARBLOOD STALLION STUD BOOK

Stallions will only be entered in the Warmblood Stallion Stud Book if they have successfully undergone the classification process with the NZWA or a recognised international association. Additional stallions may be accepted into the Warmblood Stallion Stud Book if they meet certain criteria conditions and are approved by the committee.

11.2 All imported Warmblood Stallions approved for breeding in their country of origin i.e. they have been inspected and licensed and subsequently passed testing, are eligible for inclusion in the Warmblood Stallion Stud Book. This eligibility is conditional to providing a DNA profile to the Registrar, proof of the successful approval overseas, paid all required fees and having been approved by the Committee. The NZWA committee can deny registration of an imported stallion because of insufficient Warmblood breeding or of characteristics deemed undesirable or detrimental in the pursuit of NZWA breeding goals.

11.2.1 In exceptional circumstances, stallions who have passed their licensing overseas but are yet to be approved via a performance test may be accepted into the NZ Studbook on the approval of the committee. The licensing that they have passed must have included a ridden component and stallion owners must apply to the NZWA committee for consideration to enter the stud book. If the committee does not agree to accept the stallion he will have to present in NZ for approval.

11.3 New Zealand bred stallions are eligible for inclusion in the Warmblood Stallion Stud Book provided they are registered in the Warmblood Register, and must be able to trace their pedigree back a minimum of four generations and must have only Warmblood, Thoroughbred, Arab or Anglo-Arab in their pedigree. The stallion must pass a veterinary inspection for breeding soundness (as laid down in the Veterinary report form in these regulations), carried out by a veterinarian approved by the N.Z. Veterinary Association at the owner's expense. They must also pass a Classification assessment approved by the NZWA.

A physical examination of the stallion will be carried out at the age of THREE years or older. **This examination will be completed by one or more overseas experts approved by the NZWA Committee. As a guideline the assessor(s) should be actively assessing for European based stud books. The stallion shall gain a total score of at least 75% and score no less than SIX IN ANY ONE CRITERIA.**

11.4 If the stallion is presented and fails the classification, then he is eligible to represent within 3 years of that date. During this time his registration will be held in the Warmblood Register. If he is represented and passes classification, then he will be elevated to the Warmblood Stud Book. If he again fails, then he will remain in the Warmblood Register unless the committee decides that he is worthy of implementing clause 4.4.

11.5 The progeny of failed stallion will still be eligible for registration in the Derivative Warmblood Register, if they meet the specified criteria. **They will also be eligible for classification and elevation into the Stud Book but to pass must attain a total score of no less than 75% and no less than a 6 in any one category. This will come into effect place after the Amnesty has finished in 2020 (see Appendix 1)**

11.6 Stallions registered with NZWA before 1 August 1987 are considered to meet all requirements and will be included in the Warmblood Stallion Stud Book.

11.7 Stallions born after 1990 will only be eligible for inclusion in the Warmblood Stallion Stud Book if their dam is listed in the Warmblood Mare Stud Book.

12. WARBLOOD STALLION STANDARDS

Should stallions in the Warmblood Stallion Stud Book achieve particular success individually or via their progeny, they can be elevated within the stud book to the following:

- Principal Warmblood Stallion Stud Book – all classified and approved stallions that have also completed the performance requirements or had progeny compete successfully, as stated, may be entered into this stud book.
- Elite Warmblood Stallion Stud Book – all classified and approved stallions that have achieved significant performance levels either themselves or via their progeny, as stated, may be entered into this stud book.

12.1 Principal Warmblood Stallion Stud Book – In order to be accepted into the Principal Warmblood Stallion Stud Book, the stallion must achieve the following requirements at ESNZ official competitions or an overseas equivalent:

- Graded dressage competitions to show that the Stallion has attained a minimum of Level 5 dressage (30 or more points in Level 5);
- OR have gone double clear at 1.30 meter classes of general show jumping three times;
- OR have gone double clear three times in 2 Star horse trials or above with a dressage score of 60% or more and a maximum of 20 time faults cross country
- Equally, if a stallion produces six or more progeny that have achieved these requirements.

The stallion may also be accepted into the Principal Warmblood Stallion Stud Book with a combination of these placings to be considered at the discretion of the Committee,

12.2 Elite Warmblood Stallion Stud Book – Stallions may progress to the Elite Warmblood Stallion Stud Book if they if they have achieved the following criteria:

- They must be a placed 1st – 4th at least once in a CDI at Prix St George or above
- OR they must be competing at Advanced Horse Trials level and place 1st – 4th at a CCI 3* competition or above
- OR be Grand Prix Show Jumping and be placed internationally 1-4th at a CSI * or above or 1st to 4th at the Horse of the Year in a Grand Prix competition.
- Equally, if a stallion produces six or more progeny that have achieved these requirements.

The stallion may also be accepted into the Elite Warmblood Stallion Stud Book with a combination of these achievements to be considered at the discretion of the Committee,

AT ANY TIME, THE COMMITTEE HAS THE RIGHT TO USE ITS DISCRETION ON THE REGISTRATION AND ELEVATION OF STALLIONS TO A HIGHER OR LOWER LEVEL IN THE STUDBOOK

12.3 After two or three breeding seasons of newly-registered stallions, some or all of his progeny may be examined by two or more members of the inspection panel to determine whether the stallion is passing on any serious genetic defect. Veterinary advice may be sought by the panel. The young stock will also be examined for type and movement. If significant faults are discovered, upon the advice of the inspection panel the Committee with a two-thirds majority vote may direct the removal of the stallion from the Warmblood Stallion Stud Book. The status of the stallion's foals that have been registered up to that time and those that are in utero will not be affected.

13. WARBLOOD MARE STUD BOOK

13.1 Mares will only be entered in the Warmblood Mare Stud Book if they have successfully undergone the classification process with the NZWA or a recognised international association.

Additional mares may be accepted into the Warmblood Mare Stud Book if they meet certain criteria conditions and are approved by the committee.

13.2 All mares entered into the Warmblood Studbook after 2017 must be DNA profiled and Parent Verified to at least the sire (and dam where possible).

13.3 All imported Warmblood mares approved for breeding in their country of origin i.e. they have been inspected subsequently passed, are eligible for inclusion in the Warmblood Mare Stud Book. This eligibility is conditional to providing a DNA profile to the Registrar, proof of the successful approval overseas, paid all required fees and having been approved by the Committee. The NZWA committee can deny registration of an imported mare because of insufficient Warmblood breeding or of characteristics deemed undesirable or detrimental in the pursuit of NZWA breeding goals.

13.4 New Zealand bred mares are eligible for inclusion in the Warmblood Mare Stud Book provided they are registered in the Warmblood Register (Blue Papers) and must be able to trace their pedigree back a minimum of four generations and must have only Warmblood, Thoroughbred, Arab or Anglo-Arab in their pedigree.

A physical examination of the mare will be carried out at the age of THREE years or older. **This examination may only be completed by one or more overseas experts approved by the NZWA committee. As a guideline the assessors(s) should be actively assessing for European based stud books. The mare shall gain a total score of 65% and not less than FIVE IN ANY ONE CRITERIA.**

13.5 If the mare is presented and fails the classification, then she is eligible to represent on one more occasion. Before being represented her registration will be held in the Warmblood Register. If she is represented and passes classification, then she will be elevated to the Warmblood Mare Stud Book. If she again fails, then she will remain in the Warmblood Register unless the committee decides that she is worthy of implementing clause 4.4.

13.6 The progeny of failed mare will still be eligible for registration in the Warmblood Register, if they meet the specified criteria.

Should mares in the Warmblood Mare Stud Book achieve particular success individually or via their progeny, they can be elevated within the stud book to the following:

- Principal Warmblood Mare Stud Book – all classified and approved mares that have achieved significant performance levels either themselves or via their progeny, as stated, may be entered into this stud book.
- Elite Warmblood Mare Stud Book – all classified and approved mares that have achieved significant performance levels either themselves or via their progeny, as stated, may be entered into this stud book.

13.7 Principal Warmblood Mare Stud Book –In order to be accepted into the Principal Warmblood Mare Stud Book, the mare must achieve the following requirements at ESNZ official competitions or an overseas equivalent:

- Graded dressage competitions to show that the mare has attained a minimum of Level 4 dressage (30 or more points in Level 4);
- OR have gone double clear at 1.20 meter classes of general show jumping three times;
- OR have gone double clear three times in 1 Star horse trials or above with a dressage score of 60% or more and a maximum of 20 time faults cross country
- Equally, if a mare produces two or more progeny that have achieved these requirements.

The mare may also be accepted into the Principal Mare Stud Book with a combination of these achievements to be considered at the discretion of the Committee,

13.8 Elite Warmblood Mare Stud Book – Mares may progress to the Elite Warmblood Mare Stud Book if they if they have achieved the following criteria:

- They must place 1st to 4th at least once in a CDI at Prix St George or above
- OR they must be competing at Advanced Horse Trials level and place 1st to 4th at a CCI 3 * competition or above
- OR be Grand Prix Show Jumping and be placed internationally 1-4th at a CSI * or above or 1st to 4th at the Horse of the Year in a Grand Prix competition
- Equally, if a mare produces two or more progeny that have achieved these requirements.

The mare may also be accepted into the Elite Warmblood Mare Stud Book with a combination of these achievements to be considered at the discretion of the Committee,

AT ANY TIME, THE COMMITTEE HAS THE RIGHT TO USE ITS DISCRETION ON THE REGISTRATION AND ELEVATION OF MARES TO A HIGHER OR LOWER LEVEL IN THE STUDBOOK

14. ARTIFICIAL INSEMINATION

Artificial insemination by fresh, frozen or chilled semen is permitted.

14.1 Use of Frozen Semen – If a mare owner is intending to use frozen semen, they need to supply a copy of the DNA profile of the stallion (unless it is already held on file by the NZWA) Once the foal is born, both the mare and the foal will also need to be DNA profiled to verify parentage.

14.2 Use of Frozen Semen from a Deceased Stallion – The stallion must have been DNA-typed during his life and it will be required that the foal and dam be DNA-typed before registration is permitted.

15. EMBRYO TRANSFER / IVF / FROZEN EMBRYOS

Embryo Transfer / IVF / Frozen Embryos are permitted provided that written advice is provided to the President and Registrar including details of the intended sire and dam, and the veterinarian/ professional conducting the embryo transfer / IVF / frozen embryo.

The following are also required:

- (a) A Service Certificate (fresh or chilled semen) or NZWA Frozen Semen Declaration Form must be provided by Stud Master / Semen Agent authenticating the breeder's right to use the semen.**

(b) The veterinarian carrying out the procedure certifies that the embryo was flushed from the donor mare and the mare was inseminated/naturally served with the semen as above

(c) A Release Form from the mare owner certifying that the breeder has the authority to use the mare's egg.

(d) It will be necessary to DNA-type the foal and the biological dam before registration is permitted.

The NZWA will register a maximum of 3 resultant foals due to embryo transfer per year per mare.

16. THOROUGHBRED, ARABIAN AND ANGLO-ARABIAN STALLIONS

Thoroughbred, Arabian and Anglo-Arabian stallions are suitable breeds for crossing over Warmblood Stud Book mares. The NZWA will allow Thoroughbred, Arabian and Anglo-Arabian stallions to undergo the classification process for acceptance into the Warmblood Stud Book. Once the stallion has undergone a successful classification then only the foals to Warmblood Stud Book Mares will be eligible for registration as Warmblood.

17. WARBLOOD DERIVATIVE REGISTER

17.1 This will be open to all horses that can prove 25% or more warmblood parentage, but may include any other breeding in the remaining 75%. Proof of warmblood breeding in the pedigree must be supplied by way of paperwork including a service certificate or a signed affidavit from the breeder.

These horses will be identified by marking charts and microchipping but will not be eligible for the NZWA brand.

17.2 Horses from the Derivative Register who are by unlicensed / failed stallions and have only Warmblood / Thoroughbred / Arabian in their pedigree can be elevated into the studbook by way of **classification but to be accepted must attain a total score of 75% and no less than a 6 in any one category. This will come into effect place after the Amnesty has finished in 2020 (see Appendix 1)**

17.3 Horses registered in the Derivative Register are eligible for warmblood competitions and warmblood performance awards but may be restricted to divisions for Derivative Register stock.

17.4 Warmblood derivative stallions and colts MUST be DNA recorded

18. THE WARBLOOD PONY STUD BOOK

18.1 The Pony Stud Book will recognize progeny only by stallions from recognised European Continental warmblood pony breeds with appropriate licensing details from recognized Studbooks or from stallions having been approved here in New Zealand, should they meet the standards.

18.2 The ponies registered in this Stud Book must contain a minimum of 25% Warmblood breeding.

18.3 The NZWA brand will be allocated for branding the progeny on the near side thigh and a year number will be applied at the same time just below the NZWA brand.

18.4 A life height certificate (maximum height 148cm) will be necessary for ponies to enter shows, performance awards and similar, and to remain in the Warmblood Pony Stud Book.

18.5 Special Circumstances – Exceptional ponies of other than approved Warmblood breeding may be accepted into the Warmblood Pony Stud Book.: In the furtherance of the Association's goal to develop a superior animal, the Committee may, in exceptional circumstances, empower the Registrar to accept ponies of other than Warmblood breeding. This rule is only to be enacted if this inclusion in the Stud Book is deemed to contribute to the improvement of the Warmblood Pony breed. This provision is to be used with discretion and only on rare occasions

19. WARBLOOD PONY STALLION STANDARDS

Should pony stallions in the Warmblood Pony Stallion Stud Book achieve particular success individually or via their progeny, they can be elevated within the stud book to the following:

- Principal Warmblood Pony Stallion Stud Book – all classified and approved stallions that have also completed the performance requirements or had progeny compete successfully, as stated, may be entered into this stud book.
- Elite Warmblood Pony Stallion Stud Book – all classified and approved stallions that have achieved significant performance levels either themselves or via their progeny, as stated, may be entered into this stud book.

19.1 Principal Warmblood Pony Stallion Stud Book – In order to be accepted into the Principal Warmblood Pony Stallion Stud Book, the pony stallion must achieve the following requirements at ESNZ official competitions or an overseas equivalent:

- Graded dressage competitions to show that the Stallion has attained a minimum of Level 4 dressage (30 or more points in Level 4);
- OR have gone double clear at 1.10 meter classes of general show jumping three times;
- OR have gone double clear three times in 1 Star horse trials or above with a dressage score of 60% or more and a maximum of 20 time faults cross country
- Equally, if a stallion produces six or more progeny that have achieved these requirements.

The stallion may also be accepted into the Principal Warmblood Pony Stallion Stud Book with a combination of these achievements to be considered at the discretion of the Committee,

19.2 Elite Warmblood Pony Stallion Stud Book – Pony stallions may progress to the Elite Warmblood Pony Stallion Stud Book if they have achieved the following requirements at ESNZ official competitions or an overseas equivalent:

- Graded dressage competitions to show that the Stallion has attained a minimum of 3 scores of 64% plus at Prix St Georges Level at official ESNZ shows
- OR have placed 1-4th in the Pony of the Year competition for Showjumping or 3x 1-4th placings in Pony Grand Prix Competitions
- OR have exceptional results in Eventing at the discretion of the NZWBA committee
- Equally, if a stallion produces six or more progeny that have achieved these requirements.

The stallion may also be accepted into the Elite Warmblood Pony Stallion Stud Book with a combination of these achievements to be considered at the discretion of the Committee

AT ANY TIME, THE COMMITTEE HAS THE RIGHT TO USE ITS DISCRETION ON THE REGISTRATION AND ELEVATION OF PONY STALLIONS TO A HIGHER OR LOWER LEVEL IN THE STUDBOOK

20. WARBLOOD PONY MARE STUD BOOK

Should mares in the Warmblood Pony Mare Stud Book achieve particular success individually or via their progeny, they can be elevated within the stud book to the following:

- Principal Warmblood Pony Mare Stud Book – all classified and approved mares that have achieved significant performance levels either themselves or via their progeny, as stated, may be entered into this stud book.
- Elite Warmblood Pony Mare Stud Book – all classified and approved mares that have achieved significant performance levels either themselves or via their progeny, as stated, may be entered into this stud book.

20.1 Principal Warmblood Pony Mare Stud Book – In order to be accepted into the Principal Warmblood Pony Mare Stud Book, the mare must achieve the following requirements at ESNZ official competitions or an overseas equivalent:

- Graded dressage competitions to show that the mare has attained a minimum of Level 4 dressage (30 or more points in Level 4);
- OR have gone double clear at 1.10 meter classes of ESNZ show jumping three times;
- OR have gone double clear three times in 1 Star horse trials or above with a dressage score of 60% or more and a maximum of 20 time faults cross country
- Equally, if a mare produces two or more progeny that have achieved these requirements.

The mare may also be accepted into the Principal Pony Mare Stud Book with a combination of these achievements to be considered at the discretion of the Committee

20.2 Elite Warmblood Pony Mare Stud Book – Pony mares may progress to the Elite Warmblood Pony Mare Stud Book if they if they have achieved the following requirements at ESNZ official competitions or overseas equivalent:

- Graded dressage competitions to show that the mare has attained a minimum of 3 scores of 64% plus at Prix St Georges Level at official ESNZ shows
- OR have placed 1-4th in the Pony of the Year competition for Showjumping or placed 1-4th three times in Pony Grand Prix Showjumping competitions
- OR have exceptional results in Eventing at the discretion of the NZWBA committee
- Equally, if a mare produces two or more progeny that have achieved these requirements.

The mare may also be accepted into the Elite Warmblood Pony Mare Stud Book with a combination of these achievements to be considered at the discretion of the Committee

AT ANY TIME, THE COMMITTEE HAS THE RIGHT TO USE ITS DISCRETION ON THE REGISTRATION AND ELEVATION OF MARES TO A HIGHER OR LOWER LEVEL IN THE STUDBOOK

APPENDIX I

Following the 2015 AGM the New Zealand Warmblood Association put in place an Amnesty Period on registrations of Warmblood horses who may in the past have not been eligible for Warmblood Studbook registration.

The Amnesty Period runs from January 2016 until **March 31st 2020** after which time the regulations of the stud book will be reinstated again. Horses that are by unlicensed Warmblood stallions, stallions who have failed their licensing, and imported stallions that have not been licensed can apply to be upgraded from their grey Derivative Register papers by way of classification / inspection by an international assessor at a NZWB classification day. An international assessor will also be accepted from other stud books eg Hanoverian, Holsteiner, Trakehner, etc

Mares aged three years and over whom pass classification by the overseas classifier will be permanently entered into the Mare Stud Book (Pink Papers)

Stallions aged three years and over may be put forward for classification and licensing into the Stallion Stud Book

Youngstock under three years and geldings may be inspected by the overseas assessor and if they meet the criteria will be accepted permanently into the Warmblood Register and then after they have reached three years of age they will be eligible for elevation into the studbooks by the usual process.

Minimum pass mark for youngsters and geldings is to be 65%

Horses / Youngstock who have been successfully classified / inspected into the Studbook / Register by the overseas assessor will be immediately eligible for the NZWA Brand.

After the 2020 deadline any horses not already inspected can still be elevated onto blue papers (or into the stud book) via article 17.2 with a pass mark of 75% and no mark less than a 6.

To be eligible the horse:

- Needs to be 25% Warmblood or more
- **Needs to Contain less than 7% of blood that is not Warmblood / TB / Arab in its 5 generation pedigree**
- Needs to be parent verified by DNA
- Needs to be already registered in the Warmblood Derivative Register

(Updated 18th September 2017 with approval from the NZWA Committee)

APPENDIX II

From 2020 all horses will need to be by Licensed Warmblood Stallions and out of Approved Mares in order to be eligible for promotion into the Warmblood Studbook.