

**NEW ZEALAND WARMBLOOD ASSOCIATION (NZWA)**  
**BREEDING AND REGISTRATION REQUIREMENTS**  
**NZ Warmblood Pony (NZWP) Register and Studbook**

*Updated 4th January 2018*

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## **1. BREEDING GOALS**

The aim of breeding Warmblood Ponies in New Zealand is:

- To produce a versatile, talented and rideable all-round performance pony that is physically and mentally able to demonstrate superior performance in all equestrian disciplines.
- The NZWP needs to be in proportion and of medium bone, with natural impulsion, cadence and elastic, ground covering movement.
- The NZWP should, because of its temperament, character and willingness be especially suitable for children.
- The ideal height for a NZWP is between 134cm and 148cm.

## **2. GENERAL REQUIREMENTS**

- The NZWP Register and Stud Books recognise ponies who descend from European Warmblood breeds and European Warmblood Pony breeds.
- Ponies must have at least 25% Warmblood breeding in their 4-generation pedigree to be eligible for registration.
- The remainder of the pedigree can come from any combination of the following blood:

### **PERMITTED FOUNDATION BREEDS**

NZ, Australian and English Riding Pony  
Connemara  
Thoroughbred  
Arab  
Welsh Ponies (Sections A, B, C and Welsh Cob)

- A current height certificate (maximum height 148cm) will be necessary for ponies to enter shows, performance awards and similar.
- Special Circumstances – Exceptional ponies of other than approved Warmblood and Foundation breeding may be excepted into the Warmblood Pony Stud Book. In the furtherance of the Association's goal to develop a superior animal, the Committee may, in exceptional circumstances, empower the Registrar to accept ponies of other than Warmblood breeding or the Foundation breeds listed above. This rule is only to be enacted if this inclusion in the Stud Book is deemed to contribute to the improvement of the Warmblood Pony breed. This provision is to be used with discretion and only on rare occasions (See Clause 12).
- "Grandfather Clause" Under the conditions of the "Grandfather clause" the progeny of mares currently in the system as at 1<sup>st</sup> August 2017 (ie NZWP Stud Book Mares, NZWP Register Mares or NZWP Foundation Mares) with incomplete pedigrees will be treated as if their pedigrees are complete. Female progeny of these mares would be eligible for stud book registration provided they meet all other criteria; however, colts must have a complete four generation pedigree to be eligible for Colt Selection.

## **3. BREEDING REQUIREMENTS**

To be eligible for Registration, a foal may be produced by:

- Natural service (including paddock breeding and hand service)
- Artificial insemination (AI).
- Frozen semen subject to DNA testing.
- Embryo Transfer (ET) foals

3.1 Paddock breeding will be permitted provided the mare is exposed to only one stallion. If there is more than one stallion DNA testing will be required.

3.2 Artificial Insemination by fresh or chilled semen is permitted.

3.3 The use of Frozen semen from both NZ and overseas stallions is permitted.

3.3.1 Use of Frozen Semen – If a mare owner is intending to use frozen semen, they need to supply a copy of the DNA profile of the stallion. Once the foal is born, both the mare and the foal will also need to be DNA profiled to verify parentage.

3.3.2 Use of Frozen Semen from a Deceased Stallion is permitted. The stallion must have been DNA-typed during his lifetime and it will be required that the foal and dam be DNA-typed before registration is permitted.

3.4 Embryo Transfer is permitted providing the following requirements are met:

- (a) A service certificate is provided from the Stud Master / Agent authenticating that the stated stallion's semen has been used to inseminate the donor mare.
- (b) The veterinarian carrying out the procedure certifies that the embryo was flushed from the donor mare and the mare was inseminated / naturally served with the semen as above.
- (c) It will be necessary to DNA-type the foal and the biological dam before registration is permitted.

The NZWA will register a maximum of 3 resultant foals due to embryo transfer per year per mare.

3.5 If a mare is bred by more than one stallion in a breeding season DNA testing will be required.

3.6 In addition to the above, NZWA reserves the right to request a DNA type report on any sire, mare and foal prior to registration of a foal to ascertain its parentage if there is justifiable cause to do so, in the opinion of the Committee. The expense for such testing is the responsibility of the owner.

#### **4. STALLION BREEDING CERTIFICATE**

There are two stallion breeding certificates that are applicable for all foals to be registered with the NZWA.

Certificate of Service – this certificate is to be used for all natural, fresh and chilled semen inseminations. This is to be signed by the stallion stud master/agent.

Frozen Semen Breeding Certificate – This certificate is to be used for mares inseminated with Frozen Semen only. It is to be signed by the AI technician /inseminating veterinarian.

These certificates shall be executed in triplicate at the time of the service, and will serve as the breeding certificate.

The first copy of the Stallion Breeding Certificate triplicate is for the mare owner. The mare owner's copy should be submitted to the Registrar at the time of registration of the foal.

The second copy of the Stallion Breeding Certificate triplicate is for the stud master.

The third copy of the Stallion Breeding Certificate triplicate is to be sent to the NZWA Registrar prior to registration. No registration will be considered unless the blue stallion service certificate pertaining to the foal has been received by the Registrar.

**This excludes the NZ Warmblood Pony Derivative Register when other documentation may be acceptable.**

NB: All information contained on breeding certificates etc. shall be considered confidential. It will be available to the Committee and other NZWA members only on a need-to-know basis when conducting authorised business.

## **5. NAMING OF A PONY**

No pony shall be registered under a name which is a duplicate of a horse or pony alive or dead that has already been registered with NZWA.

A pony already registered with an overseas Society or a breed Society recognised by NZWA, with a name identical to one already in the NZWA register, will have roman numerals added to its name, in consecutive order.

**5.1 Change of Name** - Once a pony is registered under a given name, this name can only be changed to another that is not already on the register, at a fee to be set each year. The current owner of the pony must apply for the name change in writing, supplying the original registration certificate and the written approval of the breeder of the pony.

## **6. AGE OF PONY**

This shall be the true age of the horse as the day, month and year of birth.

## **7. DEATH OF A PONY**

Upon the death of a registered pony, its certificate of registration with the date of the death noted thereon must be submitted to the Registrar for cancellation and recording.

## **8. TRANSFER OF OWNERSHIP**

If a pony changes ownership, the new owner shall submit the registration certificate, the Change of Ownership form filled in by both parties, together with the proper fee. The ownership transfer will be recorded on the certificate and in the official database of NZWA. The new owner must be a current member of the NZWA.

## **9. REPLACEMENT REGISTRATION CERTIFICATES**

If the original NZWA issued registration certificate is destroyed or misplaced, the owner can request another one to be issued. NZWA provides forms for this purpose. The request must be made by the current owner of the pony, who must be a fully financial

member of the NZWA. A fee shall be charged for re-issuing the registration certificate.

## 10. BRANDING AND MICROCHIPPING

10.1 All NZWP must be microchipped when first registered for both the NZWP Register or into the NZWA Derivative Register.

10.2 NZ Warmblood Pony Brand – The NZWP brand is to be used only on those ponies who have passed inspection and meet the requirements to enter into the NZWP Stud Book. Upon successful classification and at the owner's discretion, a branding slip and brand will be sent to the Veterinarian responsible for branding the pony. This branding slip is to be completed by the Veterinarian at the time of branding of the pony. The brand to be used is the NZWP brand, and it is to be placed on the near side thigh of the pony. The brand and the paperwork must be returned to the NZWA without delay. The only persons allowed access to the branding irons are those committee members appointed by the NZWA and Veterinary Surgeons.

10.3 Stud Brand – the NZWA will allow stud owners to use their own stud brand after verification and approval by the NZWA committee. The stud brand is to be registered with the NZWA upon written application and payment of applicable fees by the stud owner. The NZWA registrar will keep a list of all approved brands. The stud brand is applied to the near side shoulder.

10.4 Numerical Branding – There is no numerical branding under the NZWP brand.

10.5 Breed Brands – NZWA will allow all other recognised breed society brands to be used.

10.6 Freeze Branding – The NZWA will only allow the use of freeze branding for its cypher brand. Fire Branding is not permitted.

10.7 No Branding Option – Branding is at the owner's discretion – all NZWP must be microchipped before they are first registered.

10.8 NZ Warmblood Pony Derivative Register – Ponies in the NZWA Derivative Register are **NOT TO BE** branded with the NZWP cipher but must be microchipped.

## 11. IMPORTED PONIES

Imported ponies over the age of one year must be registered in their country of origin. If they are not registered, the importer/owner of the pony is responsible for collating the necessary documentation (including DNA testing of the imported pony and its parents to verify parentage) and associated costs to register the horse with the NZWA.

If the pony is under one year of age at importation, it must be foal recorded in the country of origin and have a completed service certificate indicating the sire and the dam. The importer/owner of the pony is then responsible for collating the necessary documentation (including DNA testing of the imported pony and its parents to verify parentage) and associated costs to register the horse with the NZWA.

Once registered and admitted to the NZ Warmblood Pony Register, these imported ponies are eligible for classification in New Zealand (if not already classified) which if successfully passed will allow them to be elevated to the NZ Warmblood Pony Stud Book.

All imported ponies may be required to be inspected by two members of the NZWA Committee to verify brands, colour, marking and papers. They may recommend to the Committee that the pony, if a Stallion (or Stud Book mare), be examined by the Inspection panel.

## **12. SPECIAL CIRCUMSTANCES**

In the furtherance of the Association's goal to develop a superior pony, the Committee may, in exceptional circumstances, empower the Registrar to accept ponies of other than approved Warmblood Pony breeds or those who have failed or not been presented for licensing if this inclusion in the Register or in the Stud Book is deemed to contribute to the improvement of the NZ Warmblood Pony breed. This provision is to be used with discretion and only on rare occasions. If an owner believes that they have a pony worthy of consideration, an application must be made in writing to the President and Registrar of the NZWA. This application must include full pedigree details, competition/breeding results, photographs and any other supporting documentation that may be appropriate.

## **13. STUD BOOKS AND REGISTERS**

The official Stud Books and Registers are:

- (a) **The NZ Warmblood Pony Stud Book** – composed of the Stallion Stud Book, the Mare Stud Book and the Foundation Stud Book which are only for classified and approved ponies.
- (b) **The NZ Warmblood Pony Register** – Must have at least 25% Warmblood Pony blood in its 4-generation pedigree chart with all other blood comprising of acceptable Foundation Stock bloodlines. Only ponies who contain no horses over 148cms in their first two generations can be entered straight into the NZWP Register. The pony must be by a Licensed Pony stallion approved by the NZWA.
- (c) **The NZ Warmblood Derivative Register** – must have a minimum 25% Warmblood Horse or Warmblood Pony blood. This register accepts ponies with breeding other than the accepted Foundation Breeds. It is also the "holding register" for ponies whose bloodlines contain a minimum of 25% warmblood with horse blood anywhere in their first two generations. These ponies can be inspected into the NZWP Register and / or Studbooks once they have reached 5 years of age and are proven to have met the height requirement.

### **13.1. The NZ Warmblood Pony Stud Books**

The NZ Warmblood Pony Stud Book will contain all registered ponies that have been successfully classified and approved with NZWA, or any other internationally recognized warmblood pony breed society that is accepted by the Committee. The stud book may also contain those ponies that have been elevated to approved status due to the exceptional performance of the individual pony and/or it's progeny, after due consideration by the committee.

The NZWP Foundation Stud Book is for stallions and mares successfully classified and approved with the NZWA which are of Welsh / Riding Pony / Connemara / Thoroughbred / Arabian and Anglo Arabian breeding or combinations thereof.

Ponies by stallions approved into the Foundation Studbook need to be out of registered warmblood pony mares in-order to be eligible for registration into the NZWP Register.

Ponies out of mares approved into the Foundation Studbook need to be by approved warmblood pony stallions in-order to be eligible for registration in to the NZWP Register.

**The maximum height for any pony in the stud book is to be 150cm. A current RAS Height Certificate must be produced at Classification. If the Assessor believes any pony in the Stud Book will grow taller than 150cms at maturity s/he has the right to ask the pony to represent once it is five years of age and has an official RAS height certificate that is less than one month old.**

All ponies entered into the NZWP Studbooks must be DNA tested.

### **13.2. The NZ Warmblood Pony Register**

The NZ Warmblood Pony Register will contain a record of all ponies registered with the NZWA which are sired by licensed warmblood stallions and have only approved Foundation Stock ancestry in their 4 generation pedigrees.

Imported ponies and foals conceived overseas may also be registered in this section, providing certificates can authenticate the pony's breeding to the satisfaction of the Committee and DNA typing can confirm the parentage.

Once a pony in the NZ Warmblood Pony Register undergoes a successful classification, it will be elevated to the NZ Warmblood Pony Stud Book.

### **13.3. The NZ Warmblood Derivative Register**

This Register contains several categories of horses and ponies.

1. Horses and ponies by unlicensed / unapproved stallions. Ponies in this category may be inspected onto full papers under the Amnesty Clause (see Appendix 1) if they meet the criteria for height and pedigree.
2. Ponies whose pedigrees contain horses over 148cms in their first two generations of their 4-Generation pedigree. These ponies are to be registered in the NZWA Derivative register until they undergo inspection / classification at **five years of age or older**. Providing these ponies meet the height requirements they can then be inspected into the Stud Book (if a mare or a stallion) or into the NZWP Register (if a gelding). They will then be eligible for the NZWP Brand.
3. Ponies whose pedigrees contain at least 25% warmblood breeding but also contains other breeds not deemed acceptable as NZWP Foundation breeds in its 4-Generation pedigree. Proof of Warmblood breeding in the pedigree must be supplied by way of paperwork or a signed affidavit from the breeder. These ponies are not eligible to be inspected into the NZWP Studbook or NZWP Register unless Clause 12 (Special Circumstances) is instigated by the NZWA committee.

Ponies from the Derivative Register who are by unlicensed / failed stallions and are at least 25% warmblood and whose 4-Generation pedigree contains only warmblood or approved Foundation Breeds can be elevated into the studbook by way of classification but need to pass with a Merit Award (78%) or above to be elevated.

Ponies registered in the Derivative Register are eligible for warmblood competitions and performance awards but may be restricted to divisions for Derivatives.

Warmblood derivative stallions and colts MUST be DNA recorded.

#### **14. PONIES WHO FAIL CLASSIFICATION**

Ponies in the NZ Warmblood Pony Register that have failed their classification will remain in the NZ Warmblood Pony Register and not be elevated to the NZ Warmblood Pony Stud Book, unless after due consideration by the committee it is elevated due to the exceptional performance of the individual horse and/or its progeny (see rule 14 – Special Circumstances), or it is successfully represented within 3 years of its first attempt.

#### **15. NZ WARBLOOD PONY STALLION STUD BOOK**

Stallions will only be entered in the NZWP Stallion Stud Book if they have successfully undergone the classification process with the NZWA or a recognised international association. Additional stallions may be accepted into the Stallion Stud Book if they meet certain criteria conditions and are approved by the committee.

All imported Warmblood Pony Stallions approved for breeding in their country of origin i.e. they have been inspected and licensed and subsequently passed testing, are eligible for inclusion in the Stallion Stud Book. This eligibility is conditional to providing a DNA profile to the Registrar, proof of the successful approval overseas, paid all required fees and having been approved by the Committee. The NZWA committee can deny registration of an imported stallion because of insufficient Warmblood breeding or of characteristics deemed undesirable or detrimental to the pursuit of NZWP breeding goals.

In exceptional circumstances, stallions who have passed their licensing overseas but are yet to be approved via a performance test may be accepted into the NZ Studbook on the approval of the committee. The licensing that they have passed must have included a ridden component and stallion owners must apply to the NZWA committee for consideration to enter the stud book. If the committee does not agree to accept the stallion he will have to present in NZ for approval.

New Zealand bred pony stallions are eligible for inclusion in the NZWP Stallion Stud Book provided they are registered in the NZWP Register, and must be able to trace their pedigree back a minimum of four generations and must have only Warmblood and approved Foundation Stock in their pedigree. The stallion must pass a veterinary inspection for breeding soundness (as provided in the Stallion Licensing Forms of the NZWA), carried out by a veterinarian approved by the N.Z. Veterinary Association at the owner's expense. They must also pass a Classification assessment approved by the NZWA.

A physical examination of the stallion will be carried out at the age of THREE years or older (although a minimum age of FIVE years is required for any stallion whose pedigree contains horses over 148cms in their first two generations). This examination will be completed by an overseas expert. The stallion shall gain an average of at least SEVEN in all criteria and not less than SIX IN ANY ONE CRITERIA.

If the stallion is presented and fails the classification, then he is eligible to represent within 3 years of that date. During this time, his registration will be held in the NZWP Register. If he is represented and passes classification, then he will be elevated to the NZWP Stud Book. If he again fails, then he will remain in the NZWP Register unless the committee decides that he is worthy of implementing Clause 12 (Special Circumstances).

The progeny of failed stallion will still be eligible for registration in the NZWP Derivative Register, if they meet the specified criteria. They will also be eligible for classification and elevation into the

Stud Book but to pass must attain 78% and gain Merit status as a minimum.

After two or three breeding seasons of newly-registered stallions, some or all of his progeny may be examined by a NZWA approved overseas expert to determine whether the stallion is passing on any serious genetic defect. Veterinary advice may be sought by the panel. The young stock will also be examined for type and movement. If significant faults are discovered, upon the advice of the inspection panel the Committee with a two-thirds majority vote may direct the removal of the stallion from the Warmblood Stallion Stud Book. The status of the stallion's foals that have been registered up to that time and those that are in utero will not be affected.

## **16. NZ WARMBLOOD PONY STALLION STUDBOOK ELEVATION OF STATUS**

Should pony stallions in the Warmblood Pony Stallion Stud Book achieve success individually or via their progeny, they can be elevated within the stud book to the following:

- Principal Warmblood Pony Stallion Stud Book
- Elite Warmblood Pony Stallion Stud Book

**Principal Warmblood Pony Stallion Stud Book** – To be accepted into the Principal Warmblood Pony Stallion Stud Book, the pony stallion must achieve the following requirements at ESNZ official competitions or an overseas equivalent:

- Graded dressage competitions to show that the Stallion has attained a minimum of Level 4 dressage (30 or more points in Level 4);
- OR have gone double clear at 1.10 meter classes of general show jumping three times;
- OR have gone double clear three times in 1 Star horse trials or above with a dressage score of 60% or more and a maximum of 20 time faults cross country
- Equally, if a stallion produces six or more progeny that have achieved these requirements.

*The stallion may also be accepted into the Principal Warmblood Pony Stallion Stud Book with a combination of these achievements to be considered at the discretion of the Committee,*

**Elite Warmblood Pony Stallion Stud Book** – Pony stallions may progress to the Elite Warmblood Pony Stallion Stud Book if they have achieved the following requirements at ESNZ official competitions or an overseas equivalent:

- Graded dressage competitions to show that the Stallion has attained a minimum of 3 scores of 64% plus at Prix St Georges Level at official ESNZ shows
- OR have placed 1-4<sup>th</sup> in the Pony of the Year competition for Showjumping or 3x 1-4<sup>th</sup> placings in Pony Grand Prix Competitions
- OR have exceptional results in Eventing at the discretion of the NZWBA committee
- Equally, if a stallion produces six or more progeny that have achieved these requirements.

*The stallion may also be accepted into the Elite Warmblood Pony Stallion Stud Book with a combination of these achievements to be considered at the discretion of the Committee*

**AT ANY TIME, THE COMMITTEE HAS THE RIGHT TO USE ITS DISCRETION ON THE REGISTRATION AND ELEVATION OF PONY STALLIONS TO A HIGHER OR LOWER**

## LEVEL IN THE STUDBOOK

### **17. NZ WARBLOOD PONY MARE STUD BOOK**

Pony mares will only be entered in the NZWP Mare Stud Book if they have successfully undergone the classification process with the NZWA or a recognised international association. Additional mares may be accepted into the NZWP Mare Stud Book if they meet certain criteria conditions and are approved by the committee.

All imported pony mares approved for breeding by a society recognised by the NZWA i.e. they have been inspected subsequently passed, are eligible for inclusion in the NZWP Mare Stud Book. This eligibility is conditional to providing a DNA profile to the Registrar, proof of the successful approval overseas, paid all required fees and having been approved by the Committee. The NZWA committee can deny registration of an imported mare because of insufficient Warmblood Pony breeding or of characteristics deemed undesirable or detrimental in the pursuit of NZWA breeding goals.

New Zealand bred mares are eligible for inclusion in the NZWP Mare Stud Book provided they are registered in the NZWP Register and must be able to trace their pedigree back a minimum of four generations and must have only Warmblood (minimum 25%) and approved Foundation Stock in their pedigree.

A physical examination of the mare will be carried out at the age of THREE years or older. older (although a minimum age of FIVE years is required for any mare who's pedigree contains horses over 148cms in their first two generations). This examination may only be completed by an overseas expert approved by the NZWA. The mare shall gain an average of at least 65% overall with nothing less than a FIVE IN ANY ONE CRITERIA.

If the mare is presented and fails the classification, then she is eligible to represent on one more occasion. Before being represented, her registration will be held in the NZWP Register. If she is represented and passes classification, then she will be elevated to the NZWP Mare Stud Book. If she again fails, then she will remain in the NZWP Register unless the committee decides that she is worthy of implementing Rule 12 (Special Circumstances).

The progeny of failed mares will still be eligible for registration in the NZWP Register, if they meet the specified criteria.

ALL MARES ENTERED INTO THE NZWP STUDBOOKS MUST BE DNA TESTED

### **18. NZ WARBLOOD PONY MARE STUD BOOK ELEVATION OF STATUS**

Should mares in the NZWP Mare Stud Book achieve particular success individually or via their progeny, they can be elevated within the stud book to the following:

- Principal Warmblood Pony Mare Stud Book
- Elite Warmblood Pony Mare Stud Book

**Principal Warmblood Pony Mare Stud Book** – In order to be accepted into the Principal Performance Pony Mare Stud Book, the mare must achieve the following requirements at ESNZ official competitions or an overseas equivalent:

- Graded dressage competitions to show that the mare has attained a minimum of

- Level 4 dressage (30 or more points in Level 4);
- OR have gone double clear at 1.10 meter classes of ESNZ show jumping three times;
- OR have gone double clear three times in 1 Star horse trials or above with a dressage score of 60% or more and a maximum of 20 time faults cross country
- Equally, if a mare produces two or more progeny that have achieved these requirements.

*The mare may also be accepted into the Principal Pony Mare Stud Book with a combination of these achievements to be considered at the discretion of the Committee*

**Elite Warmblood Pony Mare Stud Book** – Pony mares may progress to the Elite Warmblood Pony Mare Stud Book if they if they have achieved the following requirements at ESNZ official competitions or overseas equivalent:

- Graded dressage competitions to show that the mare has attained a minimum of 3 scores of 64% plus at Prix St Georges Level at official ESNZ shows
- OR have placed 1-4<sup>th</sup> in the Pony of the Year competition for Show jumping or placed 1-4<sup>th</sup> three times in Pony Grand Prix Show jumping competitions
- OR have exceptional results in Eventing at the discretion of the NZWBA committee
- Equally, if a mare produces two or more progeny that have achieved these requirements.

*The mare may also be accepted into the Elite Warmblood Pony Mare Stud Book with a combination of these achievements to be considered at the discretion of the Committee*

AT ANY TIME, THE COMMITTEE HAS THE RIGHT TO USE ITS DISCRETION ON THE REGISTRATION AND ELEVATION OF MARES TO A HIGHER OR LOWER LEVEL IN THE STUDBOOK

## 19. APPENDIX I

Following the 2015 AGM the New Zealand Warmblood Association put in place an Amnesty Period on registrations of Warmblood horses and ponies who may in the past have not been eligible for Warmblood Studbook registration. The Amnesty Period runs from January 2016 until March 31st 2020 after which time the regulations of the stud book will be reinstated again.

Ponies that are by unlicensed Warmblood stallions, stallions who have failed their licensing, and imported stallions that have not been licensed can apply to be upgraded from their grey Derivative Register papers by way of classification / inspection by an international assessor at a NZWB classification day. An international assessor will also be accepted from other stud books eg Hanoverian, Holsteiner, Trakehner, etc.

Mares and stallions aged three years and over who whom pass classification by the overseas classifier will be permanently entered into the Mare / Stallion Stud Book (Pink Papers). These mares and stallions must be over five years of age if their pedigree contains any horse blood over 148cms in the first two generations). Young-stock under three years and geldings may be inspected by the overseas assessor and if they meet the criteria will be accepted permanently into the NZWP Register and then after they have reached three years of age they will be eligible for elevation into the studbooks by the usual process. Minimum pass mark for youngsters and geldings is to be 65%.

After the 2020 deadline any ponies not already inspected can still be elevated onto blue papers (or into the stud book) if they meet all specified criteria and will need to pass inspection with a Merit Award (78%) or above to be elevated.